The Negative Effect of NCAA Football Bowl Bans on University Enrollment and Applications

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Abstract: Universities provide consumption amenities to students in addition to their educational services. Collegiate sports programs have been characterized as one of these consumption amenities. Previous research has shown that athletic success has a positive impact on both the quantity and quality of students attending a university. Alternatively, we analyze if athletic malfeasance, as measured by NCAA postseason bans of football programs, negatively affects either the quantity or quality of student applications or enrollment. Our findings suggest that athletic malfeasance that results in a postseason football bowl ban lowers the quantity of applications, admittances, and enrollment to a university. In addition, we find that universities respond to decreased application numbers by increasing their admission rates, while students who are admitted to the school enroll at the same rate as before the ban. Thus, the reduced enrollment. Lastly, we do not detect any reduction in student quality at the sanctioned university. Our results demonstrate that impropriety by an athletics program directly impacts a university's non-athlete student enrollment by influencing the amenity mix provided by the university.

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Introduction

A recent study by Jacob et al. (2018) found that students place a high value on consumption amenities, such as student activities, sports and dormitories when choosing a college. In their view, universities serve as country clubs that not only provide academic services, but also consumption amenities to students. In particular, they find that heterogeneity in student preferences account for the variation of academic amenity spending across universities. These different preferences have led some schools to draw students to their door by offering football and basketball programs that enhance the student experience. In addition, university athletic programs are uniquely situated to serve as a visible and accessible liaison between a school and the general public. Since it can be difficult for people outside a university to discern if an institution is being managed or operated efficiently, members of the public could view a school's athletic successes or failures as a signal regarding the overall quality of a college. If sports success signals educational quality, then universities have incentives to invest significant monetary resources in athletics as opposed to more traditionally academic endeavors.

Most of the previous research in this field has focused on the influence of athletic success by measuring both the quantity and quality of students at a university. We examine how athletic failure, as measured by detected athletic malfeasance at a university, influences both the quality and quantity of students. Our findings indicate that the imposition of an NCAA men's football postseason bowl ban negatively impacts the quantity of applications, admittances and enrollment of students choosing to attend the university, but does not affect the academic quality of the students enrolled at the school.

Related Literature

There has been extensive research linking schools and their athletic programs in economic literature. Early work by McCormick and Tinsley (1987) found a positive correlation between a winning football season and an increase in the incoming year's freshman SAT scores. Murphy and Trandel (1994) also found an improvement in a school's football record increased the number of applicants to that school. Both Mixon, Trevino and Minto (2004) and McEvoy (2005) found a positive and significant relationship between football win percentages and applications received, supporting the idea that collegiate football impacts the institution's admissions process. Additionally, Baade and Sundberg (1996) discovered that a postseason bowl game appearance by a university's football team increased alumni donations. When looking at "big-time" college football programs, Humphreys (2006) found that same also led to an increase in state appropriations. Even the US News and World Report College Rankings are impacted by NCAA football success. Both Fisher (2009) and Mulholland, Tomic and Scholander (2014) found that NCAA football success increased peer assessment scores. Focusing on students currently attending a university, Mixon and Trevino (2002) found a positive and significant relationship between a universities' winning percentage in football and overall graduation rates.

Studies have also indicated that athletics have the tendency to bolster the quality of students that enroll at a university. Smith (2009) found that increases in student quality are a function of the sports culture and tradition surrounding a school. Segura and Willner (2018) further discerned that Bowl Game invitations served to increase the median SAT scores by 8-21 points at the participating schools, concluding that football win percentage is not as important as Bowl appearances in attracting students to a university. Similarly, Jones (2009) found that simply appearing in a Bowl Game caused an increase in applications received and admission

yield for male students and also found that the applications received and admission yield for both male and female students were positively correlated with the Nielsen Rating of the Bowl Game.

Other studies have examined the impact that playoff and championship victories have on a university. Toma and Cross (1998) analyzed the effects of winning a NCAA National Championship in football or men's basketball on the number of applications submitted to a school, finding a significant increase in the number of applications received by the school after winning a National Championship. Pope and Pope (2008) also reported that a school's success in football or men's basketball, as measured by being ranked in the top 16 in basketball and the top 20 in football, is often accompanied by an increase of 2% to 8% in applications received.

Further examining the impact of athletic success on student quality, Smith (2008) ascertained that basketball success did not influence the proportion of students from the top ten percent of their class or the proportion of National Merit Scholars opting to attend the school. However, Pope and Pope (2014), focusing on SAT scores, determined that when a university has a stellar year in either football or basketball, the average SAT test scores sent to that university increased by ten percent. Specifically, they found that Black students, male students and students who played sports in high school were more influenced by athletic success. Chung (2013) further found that lower scoring students on the SAT have a higher preference for athletic success than do high achieving SAT students. Lastly, Caudill, Hourican and Mixon (2018) determined that when a university eliminates a football team, their applicant pool shrinks and their average ACT test scores fall.

When examining overall university spending, Jacob et al. (2018) found that for every dollar spent on academics, a university spends from forty-five to eighty cents on consumption amenities. These findings suggest that many universities allocate significant resources to athletic

programs as a consumption amenity, hoping to attract students with a preference for attending a sports-oriented school.

Although there are many studies examining the impact of a successful athletic program on a university, the literature on athletic malfeasance is limited. Chressanthis and Grimes (1993), Smith (2015) and Groothuis, Eggers and Redding (2019) have analyzed the influence of athletic malfeasance on a university's academic profile. The Chressanthis and Grimes study followed only one school and found that a when the NCAA sanctioned the school, freshman enrollment decreased. Smith (2015) measured the effect that various NCAA sanctions levied against both football and basketball programs had on student applications and detected no significant change in number of applications received by a school. However, Groothuis, Eggers and Redding (2019), discerned that probations levied against a basketball program, while having no influence on applications, did lower the average SAT scores of incoming freshman students.

Our research differs from both Groothuis, Eggers and Redding (2019) and Smith (2015) by focusing solely on postseason bowl bans in football, one of the harshest and most publicized penalties imposed by the NCAA. Studying only NCAA post season bans, we can then analyze the impact of this significant penalty on student applications, student admissions, student enrollment and student quality. Additionally, instead of including all potential categories of NCAA sanctions as in Smith's study, our research focuses solely on football postseason bans to isolate the influence of this severe penalty on both the quantity and academic quality of incoming students.

Methods and Results

To test the impact of detected athletic malfeasance as measured by NCAA football bans on a university, we use data from 120 Division I football programs for thirteen seasons from

2000 to 2012. The sample represents all NCAA Division I FBS (formally D-IA) schools from the Atlantic Coast Conference (ACC), the Big 12 Conference, the Big 10 Conference, Conference U.S.A., the Mid-American Conference (MAC), the Mountain West Conference, the PAC 12, the Southeastern Conference (SEC), the Sun Belt Conference, and the Western Athletic Conference. These schools represent the universities with the highest athletic budgets as well as the majority of NCAA bowl bids each year.

We identify the post season tournament ban using a dummy variable equal to one if a school received an NCAA postseason ban. A ban occurs when an athletics program at a university violates one or more of the rules outlined in the NCAA Division I Manual (NCAA rules). During the period of our study, only nine Division I football bans occurred at seven schools. The schools sanctioned with bans are listed in table 1, along with the year of the ban and reason for the ban. These bans generally occur when gross malfeasance is detected at a university. Barnhart (2012) outlined four potential stages that are part of a major infractions case brought by the NCAA against a university. The first stage involves investigating the allegation, the second is charging the athletic program, the third is a hearing conducted by the NCAA Committee of Infractions (COI), and finally a deliberation phase during which the COI can impose sanctions. The types of malfeasance that have led to a postseason ban include academic fraud, improper payment of student athletes, recruitment violations, as well as loss of institutional control. Given that the detection of the impropriety generally occurs before the imposition of the ban, we include one lead variable in our analysis to measure the influence of the detected malfeasance on both the quality and quantity of students at a university that might occur before the ban. We also include two lag variables after the ban to measure if the detected malfeasance has a lasting effect on the university. We include only one lead and two lags

because our preliminary analysis found there are no statistically significant effects two years before or three years after the ban. To control for team quality, we also include win percentages along with the post season tournament ban data statistics as our independent variables.

For our dependent variables we used data from the NCAA and the Peterson Undergraduate data set, which provided our measure of both male and female freshman applications, admissions, and enrollment. Given that admission decisions are largely dependent upon the total applications received by a school, and subsequently enrollment decisions are generally based upon the total number of students admitted, we also analyze both the admission rate and the enrollment rate to determine if they are different at the sanctioned schools. We also examine the student quality at these universities by the percentage of the incoming freshman class that were in the top ten percent and in the top twenty-fifth percent of their high school class, as well as the high school grade point average of the incoming freshman class and their average SAT score.

Using a fixed effect regression technique to control for differences between universities and over time, we analyzed how NCAA football bans influenced applications, admissions, enrollment, admission rates, enrollment rates and the quality of students enrolled at these schools. The university fixed effect controls for all university characteristics that are time invariant including whether the school is religious, private or public. Given the small number of postseason bans, we are unable to split our sample into private and public schools. The year fixed effects control for changing demographics of students and macro-economic conditions that change over time. We do not include control variables for university quality that changes over time because our hypothesis suggests that the athletic malfeasances serves as a signal for

university quality.¹ We have also clustered the standard errors by university to control for any correlated errors that occur within each cluster.

The model we estimate is:

 $Yit = \beta 1 leadBan + \beta 2Ban + \beta 3 lagBan + \beta 4 lag2Ban + BiUniversity + BtYear + \varepsilon_{it}$

We include the dummy variable on the ban the year before the ban, the year of the ban and the two years after the ban was imposed. We include a lead variable to detect if there is an impact on the university between the time of the detected malfeasance and the imposed ban. We report the means and standard deviation of both the dependent and independent variables in table 2. The mean football win percentage at the schools was .515 (slightly higher than .500 because these schools also play some games against other schools outside our dataset, i.e. Division 1 FCS schools, formally D1-AA). The mean number of postseason bans in any given year was one half a percent of the universities studied, indicating that seven percent of schools received a postseason tournament ban during the time of our study.

The means show that the average number of applications received were 6,360 men and 7,086 women. The number of freshman admitted is on average 3,644 males and 4,275 females. The number of average freshman enrolled is 1,554 males and 1,716 females. The mean admission rates were 64% for men and 66% for women, while the mean enrollment rates were 44% for men and 42% for women. To account for differences in size between the universities studied, we log the number of applications, admissions, and enrollment.

In terms of measuring student quality, we found that 34% of freshman enrolled came from the top ten percent of their high school class and 58% of freshman came from the top

¹ As a robustness check we included university endowment as a control variable and the results were essentially the same.

twenty-five percent of their high school class. We also found that the mean grade point average of enrolled freshman was 2.57 and the mean SAT score was 998.²

We report the results of football bans on students in tables 3 through 5. In table 3, we delineate the influence of bans on male applications, admissions, enrollments, as well as the admissions rate and enrollment rate. To help clarify our results, we convert the coefficient on the log variable to a percentage using the formula $100[exp(\beta) - 1]$, where β is the coefficient on the relevant dummy variable.

Our results show that football bans lower male applications by 10% one year before the ban, 9% the year of the ban, 13% a year after the ban and 12% two years after the ban. In addition, the ban lowers male admittance to a university by 9% one year before the ban, 8% the year of the ban, 10% the year after the ban, and 8% two years after the ban. Lastly for males, the ban lowers male enrollment by 10% the year before the ban, 15% the year of the ban, 11% a year after the ban and 12% two years after the ban. Our results indicate that athletic malfeasance leading to a NCAA football ban significantly influences the number of applications, admittances, and enrollment of males at a sanctioned university.

These findings further suggest that a ban leads fewer male students to apply to the university, and with the smaller pool of applicants, the university increases the admission rates the year before the ban but still chooses to admit fewer students. Subsequently, a smaller portion of these students then choose to enroll in the university, leading the enrollment rate to remain unchanged. In terms of magnitude, a ban leads to an average of 233 fewer male students enrolling at a university the year of the ban when evaluated at mean enrollment.

 $^{^{2}}$ The mean score was determined by summing the mean SAT verbal score of 490 and the mean SAT mathematical score of 508. When the analyzing the scores separately the results did not change.

In table 4 we report the influence of an NCAA football ban on female applications, acceptance rates and enrollment. For females, we find the ban lowers applications by 9% one year before the ban, 12% the year of the ban, 15% a year after the ban and 13% two years after the ban. In addition, the ban lowers female admittance to a university by 8% one year before the ban, 7% the year of the ban, 11% the year after the ban and 6% two years after the ban. The ban further lowers female enrollment 8% the year before the ban, 13% the year of the ban, 12% the year after the ban. Our results suggest that detected athletic malfeasance, as measured by a postseason ban in football, profoundly reduces the number of female freshman applications, admittances and enrollment at the sanctioned university. In terms of magnitude, a ban leads to an average of 224 fewer female students enrolling at a university the year of the ban when evaluated at mean enrollment.

As was found with males, universities responded to these reduced application numbers by increasing their female admission rates. We find that female admission rates increase by 2.8% the year before the ban, 3.7% the year of the ban and 6.1% two years after the ban. Our results suggest that a university faced with a diminished applicant pool increases their admissions rates, but ultimately elects to admit fewer students. We also find that the yield, the number of students that enroll after being admitted, does not change due to the ban. These results suggest that the major influence of a ban occurs with the total number of applications to a university, which has repercussions on both the admittance and enrollment decisions of a school.

In table 5 we report the results of a postseason ban on the quality of freshman enrolled at the sanctioned universities. For all measures of student quality, we find only one statistically significant effect where the percentage of freshman from the top 25% of the class increases by

three and a half percentage points the year of the ban.³ Interestingly, when focusing on the percentage of students from the top ten or top twenty-five percent of their high-school class, the results indicate that football win percentage is negatively correlated with top student quality. This result, however, is consistent with Jacob et al.'s (2018) theory that a university functions like a country club, and given heterogeneous preferences, they suggest the most academically able students are less likely to view sports as an important amenity in their college choice decision.⁴

Overall, our results illustrate that detected gross malfeasance in a football program, to the point that results in a postseason ban, lowers the quantity but not quality of students enrolling in the school. In particular, we find that a postseason football ban lowers male applications up to fifteen percent and up to thirteen percent for females, both translating to fewer students enrolling in the school.

Discussion and Conclusion

The results of this study demonstrate that an NCAA postseason football ban significantly reduces the quantity of students opting to attend the sanctioned university. Given the negative media attention surrounding a postseason ban, these events may serve as a signal to prospective students regarding the overall quality of the school, which in turn could lead students to seek other institutions of higher learning. These statistics show that malfeasance in college athletics can have significant negative effects on non-athlete students and the university as a whole,

³ The magnitudes of the coefficients on both lead and lags ban variables on mean SAT scores ranged from a 23 to 116-point reduction. Although not statistically significant, this is suggestive that NCAA bowl bans could lower student quality since our data is essentially population data.

⁴ We are not suggesting that a university can increase their enrollment of more academically qualified students by having their football team lose. Instead, we are suggesting that universities that choose to specialize in the academic quality amenity spend less resources on football and therefore have a lower quality football team as reflected in the lower win percentage.

further supporting the theory that university athletics are indeed an amenity or a signal that students use in their college choice decision.

Our research also helps answer the question posed by Sanderson and Siegfried (2018) "How have over 100 of the top 128 athletics departments persuaded their university presidents and trustees to continue devoting scarce general funding to intercollegiate sports? When these institutions incur financial losses on athletics, universities seem to double down, spending even more on salaries for coaches and improving physical facilities, rather than viewing losses as a signal to redeploy assets and efforts." Sanderson and Siegfried (2018) offer three answers to the above question: first, intercollegiate athletics might attract greater appropriations from state legislators; second, intercollegiate athletics may boost private donations; and third, high-profile sports programs, like other campus amenities, may attract more applicants and thus additional enrollment. Ultimately, our findings suggest that football is an important amenity that draws students to enroll at a university, and when the sports amenities at a school are diminished many students choose to enroll elsewhere.

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University	Year of Ban	Reason for Ban	
University of Alabama	2002	Recruiting violations and repeat offender status. Ban appealed and upheld by NCAA appeals committee.	
University of Alabama	2003	Recruiting violations and repeat offender status. Ban appealed and upheld by NCAA appeals committee.	
University of California	2002	Academic fraud, academic eligibility, obligation to withhold ineligible student-athletes from competition, extra benefits, recruiting and lack of institutional control. Ban appealed and upheld by NCAA appeals committee.	
University of Kentucky	2002	Recruiting violations, academic fraud, lack of institutional control. Ban appealed and upheld by NCAA appeals committee.	
Mississippi State University	2004	Recruiting violations and repeat offender status. Ban not appealed.	
University of North Carolina	2012	Academic fraud, impermissible agent benefits, participation by ineligible players and failure to monitor the football program. Ban not appealed.	
Pennsylvania State University	2012	Sexual abuse scandal. Four-year ban appealed and ov turned by NCAA appeals committee, but only after th first year of the ban had occurred.	
University of Southern California	2010	Improper benefits, lack of institutional control. Ban appealed and upheld by NCAA appeals committee.	
University of Southern California	2011	Improper benefits, lack of institutional control. Ban appealed and upheld by NCAA appeals committee.	

Table 1: List of NCAA Football Postseason Bowl Bans

Table 2: Means

Independent Variables	Mean		
	(Standard deviation)		
Football Win Percentage	.515		
	(.224)		
Bowl Bans	6% of Universities		
Dependent Variables	Means		
	(Standard deviation)		
Male Application	6360		
	(4328)		
Female Application	7086		
	(4890)		
Male Admissions	3644		
	(2231)		
Female Admissions	4275		
	(2586)		
Male Enrollment	1554		
Female Enrollment			
Mala Admission	(855)		
Rate	(21)		
E sur la Adminute a	(.21)		
Female Admission			
Kate	(.22)		
Male Enrollment	.44		
Rate	(.15)		
Female Enrollment	42		
Rate	(.15)		
	()		
Top 10%	34%		
High School	(25)		
Top 25%	58%		
High School	(27)		
Grade Point Average	2.57		
High School	(1.53)		
Mean S.A.T.	998		
Scores	(423)		

Colleges = 120 years=13

	Log Male Applications	Log Male Admissions	Log Male Enrollment	Male Admissions Rate	Male Enrollment Rate
Football Win	009	013	.005	.001	.006
Percentage	(.029)	(.023)	(.020)	(.011)	.019)
Lead: Bowl	105**	096**	102***	.024*	023
Ban	(.041)	(.031)	(.039)	(.013)	(.021)
Bowl Ban	094*	080*	165**	.018	.017
	(.058)	(.035)	(.073)	(.019)	(.038)
Lag: Bowl	144**	105**	116**	.043	137
Ban	(.041)	(.019)	(.031)	(.037)	(.099)
Lag2: Bowl	130*	082*	127**	.039	.007
Ban	(.074)	(.028)	(.045)	(.038)	(.027)
School fixed effects	Yes	Yes	Yes	Yes	Yes
Year fixed effects	Yes	Yes	Yes	Yes	Yes
R-sq Within Between Overall	.605 .002 .042	.507 .001 .028	.304 .000 .010	.145 .032 .010	.088 .001 .025

Table 3: Influence of Postseason Bowl Bans on Males

Schools=120 Years=13 (clustered standard error in parentheses) *significant at the 90% level. **significant at the 95% level.

	Log Female Applications	Log Female Admissions	Log Female Enrollment	Female Admissions Rate	Female Enrollment Rate
Football Win	011	020	.003	001	.004
Percentage	(.029)	(.023)	(.019)	(.010)	(.019)
Lead: Bowl	096**	087**	085*	.028**	017
Ban	(.037)	(.032)	(.049)	(.013)	(.038)
Bowl Ban	129**	077**	134	.037*	.023
	(.055)	(.033)	(.085)	(.020)	(.038)
Lag: Bowl	167**	121**	130**	.047	123
Ban	(.042)	(.012)	(.056)	(.031)	(.091)
Lag2: Bowl	139*	061*	096**	.061*	.013
Ban	(.081)	(.032)	(.045)	(.032)	(.236)
School fixed effects	Yes	Yes	Yes	Yes	Yes
Year fixed effects	Yes	Yes	Yes	Yes	Yes
R-sq Within Between Overall	.583 .003 .042	.456 .004 .019	.196 .001 .003	.163 .031 .009	.089 .000 .024

Table 4: Influence of Postseason Bowl Bans on Females

Schools=120 Years=13 (clustered standard error in parentheses) *significant at the 90% level. **significant at the 95% level.

	Top 10% High School	Top 25% High School	Freshman High School GPA	Mean S.A.T. Scores
Football Win	-5.95**	-8.02**	078	49.45
Percentage	(1.99)	(3.21)	(.190)	(40.41)
Lead: Bowl Ban	.77	1.32	.477	-106.30
	(2.18)	(2.54)	(.430)	(94.26)
Bowl Ban	.26	3.45*	.030	-23.60
	(2.94)	(1.64)	(.164)	(98.38)
Lag: Bowl Ban	21	3.94	.129	-65.78
	(2.55)	(2.61)	(.107)	(102.38)
Lag2: Bowl Ban	-3.48	2.53	.209	-116.70
	(4.12)	(3.97)	(.145)	(110.26)
School fixed effects	Yes	Yes	Yes	Yes
Year fixed effects	Yes	Yes	Yes	Yes
R-sq Within Between Overall	.051 .013 .001	.040 .017 .002	.013 .007 .005	.051 .000 .001

 Table 5: Influence of Postseason Bowl Bans on Student Quality

Schools=120 Years=13 (clustered standard error in parentheses) *significant at the 90% level. **significant at the 95% level.